Docket Number: 051270-0277031

Client Reference: H7618US



PATENT APPLICATION

IN THE UNITED STATES PA NT AND TRADEMARK OFFICE

In Re the Application of

TADASHI SUGIYAMA

Group Art Unit: 2644

Application No.: 10/034,169

Examiner: ANDREW R. GRAHAM

Filed: December 28, 2001

Confirmation No.: 1567

For: DIGITAL-AUDIO-SIGNAL RECORDING APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the references have been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(1). No fee is required.

An English language version of the foreign search report is attached for the Examiner's information.

English-language Abstracts of the non-English language references are attached hereto.

Respectfully Submitted,

Roger R. Wise

Registration Number 31204 Customer Number: 27496

Date: December 20, 2005

PILLSBURY WINTHROP SHAW PITTMAN LLP

Telephone: (213) 488-7100 Facsimile: (213) 629-1033 725 South Figueroa Street

Suite 2800

Los Angeles, CA 90017-5406

CERTIFICATION UNDER 37 C.F.R. §§ 1.8 and/or 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: December 20, 2005

ROGER R. WISE

Signature

(type or print name of person certifying)

^{*} Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

DEC 2 7 2005

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Ander the	e Papery on Reduction Act of 1995	, no pe	sons are required to r	espond to a collection of informat	tion unless it contains a valid OMB control n	umber.	
Substitute for form 1449A/PTO				Complete if Known			
				Application Number	10/034,169		
INF	ORMATION DIS	CLC	SURE	Filing Date	12/28/2001		
STATEMENT BY APPLICANT				First Named Inventor	Tadashi Sugiyama		
OTATEMENT BY ATTEIOANT			107111	Art Unit	2644		
(Use as many sheets as necessary)				Examiner Name	Andrew R. Graham		
Sheet	1	of	1	Attorney Docket Number	051270-0277031		

Examiner Initials*	Cite No. ¹	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
	No.'	Number-Kind Code ^{2 (if known)}	MM-DD-YYYY Applicant of Cited Document		Relevant Passages or Relevant Figures Appear	
		US-				
	-	US-				
		US-	1	<u> </u>	 	
		US-				
		US-				
		US-			·····	
		US-				
		US-	· · · · · · · · · · · · · · · · · · ·			
		US-			 	
		US-	 			
		US-				
		US-				
		US-				
		US-			· · · · · · · · · · · · · · · · · · ·	
		US-		 		
		US-				
		US-				
		US-		† 	V V V V V V V V V V V V V V V V V V V	

FOREIGN PATENT DOCUMENTS							
Cite No. ¹			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
1	JP	2000298974	10-24-2000	Nippon Telegraph		X	
2	ЛР	7121987	05-12-1995	Sharp KK		X	
				,	1	<u> </u>	
	No. ¹	No.1	Cite No.1 Foreign Patent Document Country Code3-Number4-Kind Code5 (if known) 1 JP 2000298974	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY 1 JP 2000298974 10-24-2000	Cite No. Toroign Patent Document Country Code Number Kind Code (if known) Publication Date MM-DD-YYYY Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Document 1 JP 2000298974 10-24-2000 Nippon Telegraph	Cite No. 1 Publication Date NM-DD-YYYY Name of Patentee or Applicant of Cited Document Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear 1 JP 2000298974 10-24-2000 Nippon Telegraph	

Examiner Signature	Date Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Bapplicant is to place a check mark here if English language Translation is attached.

DEC 2 7 2005

PTO/SB/08b (08-03) Approved for use through 06/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction

1995, no pend

Substitute for form 1449B/PTO Complete if Known Application Number 10/034,169 INFORMATION DISCLOSURE Filing Date 12/28/2001 STATEMENT BY APPLICANT First Named Inventor Tadashi Sugiyama Art Unit 2644 (Use as many sheets as necessary) Examiner Name Andrew R. Graham Sheet Attorney Docket Number of 051270-0277031

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T		
<u>.</u>	1	JAPANESE PATENT OFFICE, Office Action for JPA No. 2000-401812, Refusing Reason Notice, (10-25-2005).	3		
		·			
	•				
Examiner Signature		Date Considered			

Examiner Signature		Date Considered	
0.9.10.0.0	 	00110100100	

^{*}EXAMINER: Initial if reference considered, whether or not citation is In conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.